Log Minimal Model Program for Kähler 3-folds

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- There was also a major breakthrough in higher dimensions in 2006 due to Birkar, Cascini, Hacon and McKernan. The authors proved the existence of flip and the existence of minimal model for varieties of general type, for projective varieties over C of arbitrary dimension.
- In the analytic category, one could ask a similar question: "Is it possible to develop a minimal model program for compact Kähler manifolds?"

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Theorem

Let X be a smooth projective variety and D is a nef Cartier divisor. If $aD - K_X$ is nef and big, then mD is semi-ample for all $m \gg 0$, i.e. there is a contraction $f : X \rightarrow Y$ to a projective variety Y such that $mD = f^*H_Y$, where H_Y is an ample divisor on Y.

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If a Kähler manifold poses a big line bundle, then it is projective. So Base-point free theorem is not available for us.

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- For more discussion on these kind of examples, see: 'Compact Kähler 3-folds without non-trivial subvarieties.' by Campana, Demailly abd Verbitsky.

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- For more discussion on these kind of examples, see: 'Compact Kähler 3-folds without non-trivial subvarieties.' by Campana, Demailly abd Verbitsky.
- So we need to enlarge the vectors spaces NS(X)_ℝ, N₁(X) as well as the cones Nef(X), NE(X), etc.

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- The Bott-Chern cohomology H^{1,1}_{BC}(X) is defined as the *d*-closed (1,1)-forms with local potentials modulo *i∂∂φ*, where φ is a smooth function on X.

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- When X is a compact Kähler manifold, H^{1,1}_{BC}(X) is the usual H^{1,1}(X).

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• We define $N^1(X) := H^{1,1}_{BC}(X)$.

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When X is a compact Kähler manifold, N₁(X) ≅ H^{n−1,n−1}(X).

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- For example, for any smooth projective K3 surface X, h^{1,1}(X) = 20, but there are K3 surfaces with Picard number smaller than 20.

Kähler-Mori Cone

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An analytic variety X is called Kähler if there exists a Kähler form ω , i.e., a positive closed real (1, 1) form $\omega \in \mathcal{A}_{\mathbb{R}}^{1,1}(X)$ such that the following holds: for every point $x \in X$ there exists an open nbhd $x \in U \subseteq X$ and a closed embedding $\iota_U : U \hookrightarrow V$ into an open subset $V \subseteq \mathbb{C}^N$, and a strictly plurisubharmonic C^{∞} -function $f : V \to \mathbb{R}$ with $\omega|_{U \cap X_{sm}} = (i\partial\bar{\partial}f)|_{U \cap X_{sm}}$.

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- Let $u \in H^{1,1}_{BC}(X)$ be a class represented by a (1,1) form α with local potentials. Then u is called **nef** if for some Kähler form ω on X and for every $\varepsilon > 0$ there exists $f_{\varepsilon} \in \mathcal{A}^0(X)$ such that $\alpha + i\partial \bar{\partial} f_{\varepsilon} \ge -\varepsilon \omega$.

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- Let K ⊆ N¹(X) is the open convex cone generated by the classes of Kähler forms, Nef(X) ⊆ H^{1,1}_{BC}(X) is the closed of cone of nef classes.

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- Let K ⊆ N¹(X) is the open convex cone generated by the classes of Kähler forms, Nef(X) ⊆ H^{1,1}_{BC}(X) is the closed of cone of nef classes. Then from a theorem of Demailly it follows that Nef(X) = K.

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- Nef(X) is dual to $\overline{NA}(X)$.
- ▶ If $\alpha \in H^{1,1}_{BC}(X)$ such that $T(\alpha) > 0$ for all $T \in \overline{NA}(X) \setminus \{0\}$, then α is a represented by a Kähler form.

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- Example: Let X be a smooth compact Kähler surface s.t. a(X) := tr.deg._ℂℂ(X) = 1. Then there is a f : X → C proper morphism such that all the curve in X are vertical over C.
- ► Let $p \in C$ and $D = -p \in NS(C)$. Then $f^*D \cdot \Gamma \ge 0$ for all curves $\Gamma \subseteq X$ but f^*D is anti-effective, so $c_1(f^*D) \notin Nef(X)$.

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- Let X be a normal compact Kähler 3-fold with Q-factorial terminal singularities.
- Assume that K_X is pseudo-effective. Then K_X is algebraically nef if and only if it is analytically nef.
- Proof: The if part is obvious. So assume that K_X is algebraically nef but not analytically nef. Boucksom-Zariski decomposition K_X ≡ ∑ a_iS_i + β, where a_i ≥ 0 and β ∈ H^{1,1}_{BC}(X) is nef in codimension 1, i.e. β|_D is pseudo-effective for any prime Weil divisor D.

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- Since K_X and K_X|_C is pseudo-effective for every curve C ⊆ X, by Păun's criteria, K_X|_S is not pseudo-effective.

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- Let X be a normal compact Kähler 3-fold with Q-factorial terminal singularities.
- Assume that K_X is pseudo-effective. Then K_X is algebraically nef if and only if it is analytically nef.
- Proof: The if part is obvious. So assume that K_X is algebraically nef but not analytically nef. Boucksom-Zariski decomposition K_X ≡ ∑ a_iS_i + β, where a_i ≥ 0 and β ∈ H^{1,1}_{BC}(X) is nef in codimension 1, i.e. β|_D is pseudo-effective for any prime Weil divisor D.
- Since K_X and K_X|_C is pseudo-effective for every curve C ⊆ X, by Păun's criteria, K_X|_S is not pseudo-effective.
- Then from the decomposition $K_X \equiv \sum a_i S_i + \beta$ it follows that $S = S_i$ for some *i*.

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- ▶ Then from the decomposition $K_X \equiv \sum a_i S_i + \beta$ it follows that $S = S_i$ for some *i*. From adjunction it follows that K_S is not pseudo-effective, so *S* is Moishezon.

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- ► $\{C_t\} \subseteq S$ covering family. Then $K_X \cdot C_t = (K_X|_S) \cdot C_t < 0$, since $K_X|_S$ is not pseudo-effective.

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Let X be a normal compact Kähler 3-fold with Q-factorial terminal singularities.

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If K_X is pseudo-effective, but not nef, then there is a countable family of rational curves {C_i}_{i∈I} such that 0 < −K_X · C_i ≤ 6 and

- Let X be a normal compact Kähler 3-fold with Q-factorial terminal singularities.
- If K_X is pseudo-effective, but not nef, then there is a countable family of rational curves {C_i}_{i∈I} such that 0 < −K_X · C_i ≤ 6 and

$$\overline{\mathsf{NA}}(X) = \overline{\mathsf{NA}}(X)_{K_X \ge 0} + \sum_{i \in I} \mathbb{R}^+ \cdot [C_i].$$

When K_X is not pseudo-effective, the cone decomposition looks a bit different than above.

Existence of MMP

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Existence of MMP

Theorem (Höring and Perternell, 2015-2016)

Let X is be \mathbb{Q} -factorial compact Kähler 3-fold with terminal singularities. If K_X is pseudo-effective, then there is a finite sequence of K_X -flips and divisorial contractions:

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Theorem (Höring and Perternell, 2015-16)

Let X be a \mathbb{Q} -factorial compact Kähler 3-fold with terminal singularities. If K_X is not pseudo-effective, then there is a finite sequence of K_X -flips and divisorial contractions:

 $\phi: X = X_0 \dashrightarrow X_1 \dashrightarrow \cdots \dashrightarrow X_n$ and a fibration $f: X_n \to Z$ (called Mori fiber space) such that $-K_{X_n}$ is f-ample and the relative Picard number $\rho(X_n/Z) = 1$.

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Existence of Log MMP

Theorem (D- and Hacon, 2020)

Let (X, Δ) be a dlt pair, where X is a Q-factorial compact Kähler 3-fold. If $K_X + \Delta$ is pseudo-effective, then there exists a finite sequence of $(K_X + \Delta)$ -flips and divisorial contractions: $\phi : X = X_0 \longrightarrow X_1 \longrightarrow X_1 \longrightarrow X_n$ such that $K_{X_n} + \phi_* \Delta$ is nef.

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Termination of flips is also analytic proof, that works too!
Existence and Termination of Flips

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- If (X, Δ) is a log canonical pair and f is a (K_X + Δ)-flipping contraction, then the existence of f⁺ is due to Shokurov, because his proof is also analytic.
- Termination of flips is also analytic proof, that works too!
- So the main difficulty for us is the existence of contractions of negative extremal rays.

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In the analytic category, there is a Base-point free conjecture which mimics the statement of the Base-point free theorem in the projective case with divisors replaced by cohomology classes.

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Conjecture

Let $(X, \Delta \ge 0)$ be a klt pair, where X is a compact Kähler variety. Let $\alpha \in H^{1,1}_{BC}(X)$ be a nef class such that $\alpha - (K_X + \Delta)$ is nef and big. Then there is a proper morphism with connected fiber $f: X \to Z$ to a compact Kähler variety Z with rational singularity and $\alpha = f^* \omega_Z$, where ω_Z is a Kähler class on Z.

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We show that this conjecture holds in dimension 3.

In the analytic category, there is a Base-point free conjecture which mimics the statement of the Base-point free theorem in the projective case with divisors replaced by cohomology classes.

Conjecture

Let $(X, \Delta \ge 0)$ be a klt pair, where X is a compact Kähler variety. Let $\alpha \in H^{1,1}_{BC}(X)$ be a nef class such that $\alpha - (K_X + \Delta)$ is nef and big. Then there is a proper morphism with connected fiber $f: X \to Z$ to a compact Kähler variety Z with rational singularity and $\alpha = f^*\omega_Z$, where ω_Z is a Kähler class on Z.

We show that this conjecture holds in dimension 3.

Theorem (D- and Hacon)

Let $(X, \Delta \ge 0)$ be a klt pair, where X is a compact Kähler 3-fold. Let $\alpha \in H^{1,1}_{BC}(X)$ be a nef class such that $\alpha - (K_X + \Delta)$ is nef and big. Then there is a proper morphism with connected fibers $f : X \to Z$ to a compact Kähler variety Z with rational singularity and $\alpha = f^*\omega_Z$, where ω_Z is a Kähler class on Z.

Base-point free conjecture

For Δ = 0, X terminal singularity and α – K_X a Kähler class, this theorem was proved earlier by Tosatti and Zhang [TZ18] (when α nef but not big) and Höring (when α is nef and big).

Base-point free conjecture

- For Δ = 0, X terminal singularity and α K_X a Kähler class, this theorem was proved earlier by Tosatti and Zhang [TZ18] (when α nef but not big) and Höring (when α is nef and big).
- Unfortunately, this theorem is proved in our paper as an application of the Log MMP, in particular, it can not be used to prove the contractions of $(K_X + \Delta)$ -negative extremal rays of $\overline{NA}(X)$.

Blowing Down Theorem in Analytic Geometry

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Blowing Down Theorem in Analytic Geometry

Theorem (Fujiki 1974)

Let X be normal compact analytic variety and S a Q-Cartier prime Weil divisor on X with Cartier index m > 0. Let $g : S \to B$ be a contraction and $\mathcal{O}_S(-mS)$ is f-ample. Then there is normal compact analytic variety Y containing B and a bimeromorphic map $f : X \to Y$ such that $f|_S = g$ and $f|_{X \setminus S}$ is isomorphic to $Y \setminus B$

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- It can be shown (as in the projective case) that there exists a nef class α ∈ N¹(X) = H^{1,1}_{BC}(X) such that

$$\alpha^{\perp} \cap \overline{\mathsf{NA}}(X) := \{ \gamma \in \overline{\mathsf{NA}}(X) \mid \alpha \cdot \gamma = \mathsf{0} \} = \mathsf{R}.$$

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- Up to a rescaling of α it follows that αK_X is a Kähler class, say $\alpha = K_X + \omega$, where ω is a Kähler class on X.
- Note that α = K_X + ω is big, and hence α³ > 0, since it is a sum of a pseudo-effective class and a Kähler class.

The null locus

$$\mathsf{Null}(\alpha) := \bigcup_{V \subseteq X, \text{ dim } V > 0, \ \alpha^{\dim V} \cdot V = 0} V \subsetneq X$$

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- By a theorem of Boucksom [Bou04] and Collins and Tosatti [CT15] there exists a projective bimeromorphic morphism μ : X' → X from a Kähler manifold X' and a Kähler form ω' on X' such that Null(α) = μ(Ex(μ)) and

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where $E \ge 0$ is an effective divisor s.t. $\text{Supp}(E) = \text{Ex}(\mu)$.

Since for any curve C ⊆ Null(α), α|_C ≡ 0, it follows that -E|_E ≡ ω|_E, i.e., the conormal sheaf of E is (globally) an ample divisor on E.

Thus by the blowing down theorem, there is a proper bimeromorphic morphism π : X' → Y which contracts the connected component of E to points. Then by the Rigidity lemma, there is a bimeromorphic morphism f : X → Y such that π factorizes through it. In particular, f contracts the connected components of Null(α). This is the **flipping contraction**.

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In this case S · C < 0 for all curves C ⊆ such that [C] ∈ R. So with this one can show that α − εS is strictly positive on NA(X) \ {0} for some ε > 0.

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Thus α = εS + ω, where ω is a Kähler class on X. In particular, −S|_S ≡ ω|_S is an ample divisor on S.

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- Thus α = εS + ω, where ω is a Kähler class on X. In particular, −S|_S ≡ ω|_S is an ample divisor on S.
- ▶ Therefore by the Bllowing down theorem there is a projective birmeromorphic $f : X \to Y$ such that f(S) = pt.

Divisorial Contraction (continued...)

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Case III: Null(α) = S and $\alpha|_S \neq 0$;



Divisorial Contraction (continued...)

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In this case two arbitrary points of S con not be connected by a chain of curves which are all α-trivial. In particular, the nef dimension of α|s is 1.

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- ▶ But in order to use the nef reduction map and nef dimension we need to go to the normalization of *S*, say $\nu : \tilde{S} \to S$.

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- ▶ Then the nef dimension $n(\nu^*(\alpha|_{\tilde{S}})) = 1$. Let $\tilde{g} : \tilde{S} \to B$ be the nef reduction map.

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- We want to show that this morphism g̃ descends to a morphism g : S → B. This turns out to be a surprisingly hard problem!

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- When X has terminal singularity, a computation of intersection number shows that S is smooth in a nbhd of the general fibers of g̃ : S̃ → B.

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Case III: Null(α) = S and $\alpha|_S \neq 0$; (this is the hardest case!)

- In this case two arbitrary points of S con not be connected by a chain of curves which are all α-trivial. In particular, the nef dimension of α|s is 1.
- ▶ But in order to use the nef reduction map and nef dimension we need to go to the normalization of *S*, say $\nu : \tilde{S} \to S$.
- ▶ Then the nef dimension $n(\nu^*(\alpha|_{\tilde{S}})) = 1$. Let $\tilde{g} : \tilde{S} \to B$ be the nef reduction map.
- We want to show that this morphism g̃ descends to a morphism g : S → B. This turns out to be a surprisingly hard problem!
- When X has terminal singularity, a computation of intersection number shows that S is smooth in a nbhd of the general fibers of g̃ : S̃ → B.
- An explicit computations then shows that ğ descends to a morphism g : S → B.

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- So we are done again by the blowing down theorem.

Thank you!

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